Application to Participate in Face Recognition Challenges and Evaluations (FaCE) Sequestered Testing

1. Who Should Participate

- 1.1. Biometric identification technology researchers and developers from industry, research institutions, and academia are eligible to participate in Face Recognition Challenges and Evaluations hereafter referred to as the "FaCE."
- 1.2. Anonymous participation is not permitted.

2. How to Participate

- 2.1. To request participation in the FaCE, potential Participants must read this application (*Application to Participate in the FaCE*), sign the "Request to Participate" section below, attach business cards from each of the signing parties, and send it to the address below (Paragraph 5.8). Signatures of both the Responsible Party and the Point of Contact are required.
 - 2.1.1. The Responsible Party is an individual with the authority to commit the organization to the terms in this document.
 - 2.1.2. The Point of Contact (POC) is an individual with detailed knowledge of the technology applying for participation.
 - 2.1.3. In some cases, the Responsible Party and the POC may be the same person.
- 2.2. Upon receipt of the signed application by the National Institute of Standards and Technology (NIST), the organization will be classified as a "Tentative Evaluation Participant." NIST must receive this signed application with the algorithm prototypes. Algorithm prototypes shall be submitted as Software Development Kit(s) (SDK). They may be submitted on an ongoing basis. The application is required to be submitted with the **first** SDK submission; subsequent submissions do not require additional applications.
- 2.3. It is the Government's desire to select all Tentative Participants as Participants. However, if demand for participation exceeds the Government's ability to properly evaluate the technology, the Government will select Participants on a first come first served basis.
- 2.4. The FaCE will evaluate face detection and verification using input biometric samples. The samples will be still images.
- 2.5. More information regarding the instructions, input and output formats, and technical specifications for each of the above tasks is included in the FaCE API available at http://www.nist.gov/itl/iad/ig/facechallenges.cfm.
- 2.6. SDKs submitted must be compliant with the FaCE protocol and must be delivered to NIST (at the address in paragraph 5.8 below) according to the technical specifications given in the FaCE API. These require use of strong encryption.

3. Points of Contact

- 3.1. The FaCE Liaison is the government point of contact.
- 3.2. All questions and correspondence should be directed to the FaCE Liaison at facechallenges@nist.gov. Questions and correspondence will be received by the FaCE Liaison and other FaCE personnel.
- 3.3. The answers to questions and correspondence will be provided as updates to the FaCE API.

3.4. Interested parties and Participants should not contact any individual member of the FaCE staff.

4. Release of FaCE Results

- 4.1. The Government will release FaCE results on a continuous basis on the FaCE website, which will identify all Participants along with all of their results. The FaCE results will contain, at a minimum, descriptions of each experiment and performance results.
- 4.2. Participants will be notified of the public release of the FaCE results.
- 4.3. After the release of the FaCE results, Participants may decide to use the results for their own purposes. Such results shall be accompanied by the following statement: "Results shown from the Face Recognition Challenges and Evaluations (FaCE) activity do not constitute endorsement of any particular product by the U.S. Government." Such results shall also be accompanied by the Internet address (URL) of the FaCE results on the FaCE website.

5. Additional Information

- 5.1. NIST will use the Participants SDK software only for the agreed-upon Evaluation, and in the event errors are subsequently found, to rerun prior tests and resolve those errors. NIST agrees not to use the Participants software for purposes other than indicated above, without express permission by the Participant.
- 5.2. This is a Software Development Kit (SDK) test. It will be carried out at a NIST facility.
- 5.3. Performance results will be publicly attributed to Participants and their SDKs in the FaCE results.
- 5.4. Software that is not compliant with the FaCE protocol specifications cannot be tested.
- 5.5. In order to be tested, SDKs must install easily (i.e., one installation step with no Participant interaction required), and shall be executable on any number of machines without requiring any machine-specific license control procedures or activation.
- 5.6. Participant agrees to hold harmless the FaCE Sponsors, staff, contractors and all agencies of the U.S. Government for any harm or damage incurred in the course of participating in the FaCE.
- 5.7. The Government is not bound or obligated to follow any recommendations that may be submitted by the Participant. The United States Government and individual agencies are prohibited from, in any way, giving any special consideration to FaCE Participants or any other party on future procurements, contracts or collaborations.
- 5.8. By signing this application, Participants acknowledge that they understand and agree to the provisions of this form and the technical specifications in the *FaCE API*.
- 5.9. Original signed copies of the FaCE application are required. Original, signed copies of this application, with business cards from both signing parties attached, must be mailed to the address below. These must be signed paper hardcopies. Scanned documents submitted via email are not acceptable. Please send an email message to facechallenges@nist.gov stating that you have sent your application. NIST will not accept applications from generic email addresses (e.g. gmail.com, hotmail.com, etc.). Upon receipt of your application, we will send you a confirmation email message.

Mailing Address: FaCE Liaison National Institute of Standards and Technology Information Access Division (894) 100 Bureau Drive, Stop 8940 Gaithersburg, MD 20899-8940

Participants should complete the box below per the instructions for transmission of encrypted content to NIST as defined in the *FaCE API* document and available at

http://www.nist.gov/itl/iad/ig/encrypt.cfm. If preferred, participants can fax their public key to the FACE Liaison at 301-975-5287.

Participant's public-key fingerprint (enter here)											
NIST's public-key fingerprint	A4DA	3B64	ED3D	CA64	E3DC	8E3E	C8F4	0696	A3D4	5E32	

Request to Participate

With my signature, I hereby request consideration as a Participant in Face Recognition Challenges and Evaluations (FaCE), and I am authorizing my company or organization to participate according to the rules and limitations listed in this document.

ith my signature, I also state that I have the authority to accep		
SIGNATURE, TITLE AND ORGANIZATION OF RESPONSIBLE PARTY	DATE	
PRINTED NAME AND EMAIL ADDRESS OF RESPONSIBLE PARTY		
SIGNATURE, TITLE AND ORGANIZATION OF POINT OF CONTACT	DATE	
PRINTED NAME AND EMAIL ADDRESS OF POINT OF CONTACT		